

# **The External Evaluation Report of a Doctoral Study Domain Cinematography and Media – UBB of Cluj Napoca**

## **I. Introduction**

Babeş-Bolyai University (UBB) operates under the academic autonomy concept, which is defined as specific means of self-management in accordance with the legal framework provided by the Romanian Constitution and by National Education Law No. 1/2011 and other laws such as Government Emergency Ordinance No. 75/2005 regarding the education quality assurance, approved by Law No. 87/2006 with subsequent amendments and in line with internal regulations and decisions. Babeş-Bolyai University (UBB) is a signatory to the document “The Magna Charta of the European Universities” (Bologna, 1988), follows “The Lima Declaration on Academic Freedom and Autonomy of Institutions of Higher Education” (1988), and is a member of the European University Association, the International Association of Universities, and the Bologna Declaration (1999). The institution’s activities are guided by the legal provisions and the UBB Charter. The Charter, first adopted in 1995 (updated in 1997, 2000, 2003, adopted in a new form in 2012, and revised in 2014), was drafted in conjunction with post-1989 institutional reform initiatives of the academic community, being discussed in the Senate and submitted to the attention of the Councils of the faculties and departments, as well as the students. The contents of the Charter is accessible to members of the academic community and can be consulted by all those interested owing to the process of development and adoption, as well as its accessibility in printed and electronic format. This document was amended and adopted in 2014. According to the Charter, UBB is a “comprehensive university of advanced research and education that undertakes to train young people for the contemporary society and the future.” (UBB Charter, 2014, art. 7, paragraph 1) “The University’s primary goal is to create and distribute knowledge. The UBB mission is reflected in the following: a) ensures the creation of knowledge through an intensive research process; b) provides training to students and researchers, active and responsible citizens, promoting education and research in accordance with the requirements of a society based on knowledge and values through initial training, continuing education and integration into the circuit of universal values; c) provides a framework for multicultural, multilingual and interfaith interferences and provides training on equal terms, in Romanian, Hungarian, German, as well as in international languages; d) contributes to the local, regional and national development from a social, economic, cultural, political point of view through involvement in line with the needs of the community. ” (UBB Charter, 2014, art. 7) UBB incorporated strategic management, an effective development mechanism, and the quality concept in order to accomplish its purpose.

## **II. Methods used**

The analysis of the internal evaluation report of the doctoral study domain under review and its Annexes was done using the following methods;



- the analysis of documents;
- meeting/discussions with doctoral students in the doctoral study domain under review;
- meeting/Discussions with the graduates of the doctoral study domain under review;
- meeting/Discussions with employers of the graduates in the doctoral study domain under review;
- meeting/Discussions with the school officials of the Doctoral School(s) in which the doctoral study domain under review is operating;
- meeting/Discussions with the doctoral advisors in the doctoral study domain under review;
- meeting/discussions with the representatives of the various structures of the IOSUD/Doctoral School(s) in which the doctoral study domain under review is operating:
- the Council of the Doctoral School, the University Senate, the Board of Directors, the Quality Assessment and Assurance Commission, the Quality Assurance Department, the Ethics Commission (including with the student representatives of these structures).

### **III. Analysis of ARACIS's performance indicators**

#### ***Domain A. INSTITUTIONAL CAPACITY***

##### **Criterion A. 1. The administrative, managerial institutional structures and the financial resources**

The University's management structure is in compliance with National Education Law No. 1/2011 and the University Charter, and elections are conducted in accordance with the Regulation on Elections for Leadership Structures and Positions at Babeş-Bolyai University. According to the provisions of the UBB Charter, the key decision-making and deliberation forum at the University is the University Senate, which was restructured in 2020 and operates under the Regulation on the Organisation and Operation of the UBB Senate and comprises 105 members, including 76 teachers, 2 non-faculty researchers, and 27 students. The Senate committees that operate under the above-mentioned legislation as well as their own regulations are as follows:

1. Scientific Research Committee
2. Human Resources Committee
3. Regulations and Judicial Issues Committee
4. Curriculum Committee
5. Budget and Heritage Committee
6. Committee for the relationship with the national socio-economic and academic environment
7. Multicultural Development and Internationalisation Committee
8. Quality Assurance and Academic Development Committee



## 9. Committee for student social and cultural activities

The Rector is in charge of the executive and operational management, which is based on contracts signed with the university Senate and the line ministry in accordance with the law. The Rector legally represents the University in its relations with third parties, other universities in the country and abroad, central and local government, and domestic and international institutions or entities. The Rector is the University's authorising officer, and as the University's executive manager, makes decisions and makes arrangements in areas under their jurisdiction and competence. The Rector submits white papers for approval to the University Senate concerning the activity of UBB with a view to fulfilling the mission and responsibilities undertaken, regarding the structure of the University, internal legislation, institutional strategy, etc. The rector is accountable to the University Senate for the exercise of their responsibilities. The vice-rectors assist and advise the rector in the current management activity of the University and in the institutional development policies. Vice-rectors exercise their administrative and operational management authority on specific domains based on the Rector's statutory delegation of authority. The domain of which each vice-rector is responsible is specified in the Rector's decision to appoint the vice-rectors. Vice-rectors from the Hungarian and German lines of study will oversee representing the interests of their respective lines of study. The Administrative Council of the University is made up of rector, vice-rectors, deans, chief administrative officer and the students' prefect. The Administrative Council oversees the institution's operations management. Faculty chief administrators, trade union leaders, student association representatives, the director of the "Lucian Blaga" Central University Library, and directors of university branch campuses can attend Administrative Council meetings as guests with no voting rights. The Faculty Council oversees administration at the faculty level. According to the Charter, the Faculty Council is made up of teaching and research staff in proportion of 75% and 25% students. The management and development of the University is supported by the Strategic Development Plan and the annual operational plans. In addition, in 2020, the development project for the 2020–2024 period, as well as the annual operational plan, were developed at the faculty level. All these documents also include objectives that align to the University's multicultural framework. The Rector's Report is published annually. The Institute for Doctoral Studies is a structure established on 1 May 2006, with the mission to manage the doctoral schools of Babeş-Bolyai University, in an administrative framework comparable to that of "graduate schools" in the international system, based on the activity of specialised faculties and departments, through adequate regulations and measures. The Institute for Doctoral Studies is governed by a Statute and an organisational structure endorsed by the Babeş-Bolyai University Senate. Under the direction of the Council for Doctoral Studies and in collaboration with the councils of doctoral schools, the Institute for Doctoral Studies at Babeş-Bolyai University coordinates all administrative activities related to the doctoral cycle. This structure ensures the coherence of doctoral studies, provides an adequate framework for the interdisciplinary approach of doctoral studies, and facilitates communication among doctoral schools and faculties.



*Standard A. 1. 1. The institution organizing doctoral studies (IOSUD) has implemented the effective functioning mechanisms provided for in the specific legislation on the organization of doctoral studies.*

***Performance Indicator A. 1. 1. 1. The existence of specific regulations and their application at the level of the Doctoral School of the respective university doctoral study domain:***

The Regulation on the organization and development of doctoral studies at Babeş-Bolyai University is an annex to the Charter of Babeş-Bolyai University and was adopted by the Senate of Babeş-Bolyai University by decision 483 of 13. 01. 2020, being available for consultation on the website of IOSUD. The Regulations on the organization and development of doctoral studies within the Doctoral School of Theater and Film are available for consultation on the website of the Doctoral School. The methodology for conducting the elections at the level of the Doctoral School Council, as well as the procedure for appointing the Director of the Doctoral School, are provided in the UBB Regulation for the Organization and Conduct of Doctoral University Studies, in article 27. The methodology regarding the admission of doctoral students is provided in articles 36, 37 and 38 of the Regulation on the organization and conduct of doctoral studies at Babeş-Bolyai University, respectively in the specific methodology, unique at IOSUD level. The methodology regarding the completion of doctoral studies is provided in articles 48, 49, 50, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60 of the Regulation on the organization and development of doctoral studies at Babeş University. Bolyai, available for consultation on the IOSUD website. The mechanisms for the recognition of the quality of doctoral supervisor and the equivalence of the doctorate obtained in other states are provided in the Methodology for the automatic recognition of the quality of doctoral supervisor, of the didactic functions, of the doctoral diploma and of the doctoral degree obtained in educational institutions. accredited university abroad, adopted by the UBB Senate in the meeting of February 6, 2017, document available for consultation on the IOSUD website. The activity of doctoral students during the doctoral university study program is carried out according to the provisions of the Study Contract and the Plan of doctoral university studies. The study contract is concluded between the doctoral student, Babeş-Bolyai University as IOSUD and the doctoral supervisor for the normal period of schooling, for three consecutive years. It regulates the rights and obligations of each of the three parties. Any interruption or extension of the doctoral study program is recorded in an additional act of extension / interruption, which can be found on the FDI website, in the forms section. The doctoral study plan, concluded between the doctoral student and the doctoral supervisor, regulates the disciplines that the doctoral student must follow within the Training Program based on advanced university studies, as well as the scientific research projects in which the doctoral student participates during the individual research program. scientific. Also, the study plan specifies the periodization of the individual research reports that the doctoral student is obliged to support, as well as the possible interruptions or extensions of the doctoral path. The internal procedures



for analysis and approval of proposals about the training program based on advanced university studies are regulated by the Doctoral School Regulations, Article 24. The Council of the Doctoral School of Theater and Film meets as many times as necessary, when convened by the Director of the Doctoral School.

*Recommendations:*

***The indicator is fulfilled.***

***Performance Indicator A. 1. 1. 2. The doctoral school' Regulation includes mandatory criteria, procedures and standards binding on the aspects specified in Article 17, paragraph (5) of the Government Decision No. 681/2011 on the approval of the Code of Doctoral Studies with subsequent amendments and additions.***

The co-optation of new doctoral supervisors in the Doctoral School of Theater and Film is provided in the Regulations of the Doctoral School at articles 14 and 15. The mechanisms by which decisions are taken on the appropriateness, structure and content of the training program based on advanced university studies are provided for in the Doctoral School Regulations in Articles 23, 24, 25, 26. The procedures for changing the doctoral supervisor are provided in the Doctoral School Regulations in article 18. The access of doctoral students to the research infrastructure available to the Faculty of Theater and Film is regulated in the Regulations of the Doctoral School in article 27. The attendance obligations of doctoral students are regulated in the Doctoral School Regulations in article 21. The conditions under which the doctoral program may be interrupted, as well as the ways to prevent university fraud are subject to the broader framework of Babeş-Bolyai University, being regulated by the Regulation on the organization and functioning of doctoral studies at Babeş-Bolyai University, articles 7, and 35.

*Recommendations:*

***The indicator is fulfilled.***

***Standard A. 1. 2. The IOSUD has the logistical resources necessary to carry out the doctoral studies' mission.***

***Performance Indicator A. 1. 2. 1. The existence and effectiveness of an appropriate IT system to keep track of doctoral students and their academic background.***

The record of the doctoral students and of their entire activity within the doctoral study program, is ensured through personal files and in electronic form. The storage of data regarding the doctoral program of doctoral students is performed by the staff of ISD, using as logistical resources: Academic Info (internal platform within UBB) which contains the records of doctoral students enrolled in doctorate, and the national platform Registrul Matricol Unic -rei. gov. ro. Starting with 2012, the record of the defenses of the doctoral theses is done electronically through the online platform, and



the transmission to the MEN and CNATDCU of the doctoral theses and of the afferent files in electronic format is made through the platform rei. gov. ro.

*Recommendations:*

***The indicator is fulfilled.***

***Performance Indicator A. 1. 2. 2. The existence and use of an appropriate software program and evidence of its use to verify the percentage of similarity in all doctoral theses.***

Through the University, the Faculties within UBB have the logistical resources necessary to fulfill the mission of doctoral studies, our university having, among others, one of the most efficient similarity analysis programs at international level. Starting with 2016, IOSUD -UBB purchased and renewed annually the computer program license in order to verify the percentage of similarity in all doctoral theses (iThenticate textual similarity detection software provided by Turnitin).

*Recommendations:*

***The indicator is fulfilled.***

***Standard A. 1. 3. The IOSUD makes sure that financial resources are used optimally, and the revenues obtained from doctoral studies are supplemented through additional funding besides governmental funding.***

***Performance Indicator A. 1. 3. 1. Existence of at least one research or institutional / human resources development grant under implementation at the time of submission of the internal evaluation file, per doctoral study domain under evaluation, or existence of at least 2 research or institutional development / human resources grant for the doctoral study domain, obtained by doctoral thesis advisors operating in the evaluated domain within the past 5 years. The grants address relevant themes for the respective domain and, as a rule, are engaging doctoral students.***

During the reference period, two of the PhD supervisors in the field of Cinematography and Media each carried out a research grant with UEFISCDI funding. During the same period, one of the PhD supervisors in the field of Cinematography and Media attracted and led two institutional development grants.

*Recommendations:*

***The indicator is fulfilled.***

***Performance Indicator \*A. 1. 3. 2. The percentage of doctoral students active at the time of the evaluation, who for at least six months receive additional funding sources besides government funding, through scholarships awarded by individual persons or***





*by legal entities, or who are financially supported through research or institutional / human resources development grants is not less than 20%.*

At the time of writing this report, 33 doctoral students in the field of Cinematography and Media are enrolled in the Doctoral School of Theater and Film (24 in internship and 9 in extension). Of these, seven also benefited from sources of funding other than government (21.21%).

*Recommendations:*

***The indicator is fulfilled.***

***Performance Indicator \*A. 1. 3. 3.*** *At least 10% of the total amount of doctoral grants obtained by the university through institutional contracts and of tuition fees collected from the doctoral students enrolled in the paid tuition system is used to reimburse professional training expenses of doctoral students (attending conferences, summer schools, training, programs abroad, publication of specialty papers or other specific forms of dissemination etc. ).*

During the reference period, the incomes of the Doctoral School of Theater and Film coming from doctoral grants and tuition fees were, cumulatively, of 1867200 RON. In the same interval, the Doctoral School of Theater and Film spent with the professional training of doctoral students 103102.4 RON, representing 5.52% of the total amounts collected.

*Recommendations:*

***The indicator is fulfilled.***

## **Criterion A. 2. Research infrastructure**

*Standard A. 2. 1. The IOSUD has a modern research infrastructure to support the conduct of doctoral studies' specific activities.*

***Performance Indicator A. 2. 1. 1.*** *The venues and the material equipment available to the doctoral school enable the research activities in the evaluated domain to be carried out, in line with the assumed mission and objectives (computers, specific software, equipment, laboratory equipment, library, access to international databases etc. ). The research infrastructure and the provision of research services are presented to the public through a specific platform. The research infrastructure described above, which was purchased and developed within the past 5 years will be presented distinctly.*

The Doctoral School of Theater and Film has a research infrastructure adequate to the specifics of the field, both in terms of spaces and in terms of equipment and endowments made available to doctoral students. Thus, to support the courses within



the Training Program based on advanced university studies, the doctoral school has three classrooms, with 8, 16 and 30 seats, respectively, all equipped with video projector, projection screen, sound system and wireless connection. at the Internet. To carry out scientific research activities, PhD students of the Doctoral School of Theater and Film have a room with 12 seats, equipped with four PC workstations connected to the Internet, Minolta Bizhub 222 Professional Multifunction Printer, wireless Internet access. The Doctoral School of Theater and Film provides for its doctoral students the necessary learning and research resources in the form of textbooks and courses, treatises, bibliographic references, anthologies, fiction, specialized magazines, etc., which can be found in the collections of the Central Library of the University. its branches, as well as in the Faculty Library. PhD students have free access to these learning resources presented in a classic format, but also on audio, video and electronic support. Having an encyclopedic character and covering various fields, the library collections are consulted by many users, among students, university teachers, by scientific researchers, doctoral students, as well as other categories of beneficiaries.

*Recommendations:*

***The indicator is fulfilled.***

### **Criterion A. 3. Quality of Human Resources**

*Standard A. 3. 1. At the level of each domain there are sufficient qualified staff to ensure the conduct of doctoral study program.*

***Performance Indicator A. 3. 1. 1. Minimum three doctoral thesis advisors within that doctoral domain, and at least 50% of them (but no less than three) meet the minimum standards of the National Council for Attestation of University Degrees, Diplomas and Certificates (CNATDCU) in force at the time when the evaluation is carried out, which standards are required and mandatory for obtaining the enabling certification.***

Within the field of Cinematography and Media, a number of six doctoral supervisors work in the Doctoral School of Theater and Film. Of these, all obtained the quality of doctoral supervisor following the habilitation process. All PhD supervisors in the field of Cinematography and Media meet the CNATDCU minimum standards for obtaining the habilitation certificate.

*Recommendations:*

***The indicator is fulfilled.***

***Performance Indicator \*A. 3. 1. 2. At least 50% of all doctoral advisors have a full-time employment contract for an indefinite period with the IOSUD.***





Of the six PhD supervisors in the field of Cinematography and Media, all are holders within IOSUD (100%).

*Recommendations:*

***The indicator is fulfilled.***

***Performance Indicator A. 3. 1. 3.*** *The study subjects in the education program based on advanced higher education studies pertaining to the doctoral domain are taught by teaching staff or researchers who are doctoral thesis advisors / certified doctoral thesis advisors, professors / CS I or lecturer / CS II, with proved expertise in the field of the study subjects they teach, or other specialists in the field who meet the standards established by the institution in relation with the aforementioned teaching and research functions, as provided by the law.*

All faculty who teach subjects in the training program based on advanced university studies are tenured PhD supervisors and hold at least the teaching degree of associate professor.

*Recommendations:*

***The indicator is fulfilled.***

***Performance Indicator \*A. 3. 1. 4.*** *The percentage of doctoral thesis advisors who concomitantly coordinate more than 8 doctoral students, but no more than 12, who are themselves studying in doctoral programs does not exceed 20%.*

Within the Doctoral School of Theater and Film, no doctoral supervisor coordinates more than eight active doctoral students at the same time, respectively more than 15 doctoral students in total.

*Recommendations:*

***The indicator is fulfilled.***

***Standard A. 3. 2.*** *The Doctoral advisors within the domain are carrying out a scientific activity visible at international level.*

***Performance Indicator A. 3. 2. 1.*** *At least 50% of the doctoral thesis advisors in the evaluated domain have at least 5 Web of Science- or ERIH-indexed publications in magazines of impact, or other achievements of relevant significance for that domain, including international-level contributions that indicate progress in scientific research - development - innovation for the evaluated domain. The aforementioned doctoral thesis advisors enjoy international awareness within the past five years, consisting of: membership on scientific boards of international publications and conferences; membership on boards of international professional associations; guests in conferences or expert groups working abroad, or membership on doctoral defense*



*commissions at universities abroad or co-leading with universities abroad. For Arts and Sports and Physical Education Sciences, doctoral thesis advisors shall prove their international visibility within the past five years by their membership on the boards of professional associations, membership in organizing committees of arts events and international competitions, membership on juries or umpire teams in artistic events or international competitions.*

Five of the six PhD supervisors in the field of Cinematography and Media (83%) meet the requirements of this criterion, proving an international visibility of their own scientific research and artistic creation.

*Recommendations:*

***The indicator is fulfilled.***

***Performance Indicator \*A. 3. 2. 2.*** *At least 50% of the doctoral thesis advisors in a specific doctoral study domain continue to be active in their scientific field, and acquire at least 25% of the score requested by the minimal CNATDCU standards in force at the time of the evaluation, which are required and mandatory for acquiring their enabling certificate, based on their scientific results within the past five years.*

All PhD supervisors in the field of Cinematography and Media meet at least 25% of the score required by the CNATDCU minimum standards.

*Recommendations:*

***The indicator is fulfilled.***

### ***Domain B. EDUCATIONAL EFFECTIVENESS***

#### **Criterion B. 1. The number, quality and diversity of candidates enrolled for the admission contest**

*Standard B. 1. 1. The institution organizing doctoral studies has the capacity to attract candidates from outside the higher education institution or a number of candidates exceeding the number of seats available.*

***Performance Indicator \*B. 1. 1. 1.*** *The ratio between the number of graduates of masters' programs of other higher education institutions, national or foreign, who have enrolled for the doctoral admission contest within the past five years and the number of seats funded by the state budget, put out through contest within the doctoral domain is at least 0. 2 or the ratio between the number of candidates within the past five years and the number of seats funded by the state budget put out through contest within the doctoral studies domain is at least 1, 2.*

During the reference period, 2016-2020, within the Doctoral School of Theater and Film, 66 budgeted places were put up for competition, out of which 30 in the field of



Cinematography and Media. A total of 19 PhD students from other educational institutions registered for these places, of which 9 in the field of Cinematography and Media. At the level of the Doctoral School, the required ratio is 0.28. At the level of Cinematography and Media, the ratio is 0.3.

*Recommendations:*

***The indicator is fulfilled.***

*Standard B. 1. 2 Candidates admitted to doctoral studies demonstrate academic, research and professional performance.*

***Performance Indicator \*B. 1. 2. 1. Admission to doctoral study programs is based on selection criteria including: previous academic, research and professional performance, their interest for scientific or arts/sports research, publications in the domain and a proposal for a research subject. Interviewing the candidate is compulsory, as part of the admission procedure.***

According to the Methodology for Admission to Doctoral Studies, admission to doctoral programs within the Doctoral School of Theater and Film is made exclusively on the basis of a public admission competition, with two distinct tests: written examination that tests the candidates' specialized knowledge;•an interview in which the scientific/artistic preoccupations of the candidate are analyzed, his research/artistic creation skills and the proposed topic for the doctoral thesis. The competition tests are held in front of an admission commission consisting of the doctoral supervisor who offered the place of doctoral student for admission and at least 2 other specialists from Babeş-Bolyai University who have at least the position of associate professor or second degree scientific researcher.

*Recommendations:*

***The indicator is fulfilled.***

***Performance Indicator B. 1. 2. 2. The expelling rate, including renouncement / dropping out of doctoral students 3, respectively 4, years after admission does not exceed 30%.***

For the Cinematography and Media field, the dropout rate was 0% in 2015, 14.29% in 2016, 0% in 2017, 0% in 2018, and 0% in 2019.

*Recommendations:*

***The indicator is fulfilled.***

**Criterion B. 2. The content of doctoral programs**



*Standard B. 2. 1. The training program based on advanced university studies is appropriate to improve doctoral students' research skills and to strengthen ethical behavior in science.*

***Performance Indicator B. 2. 1. 1. The training program based on advanced academic studies includes at least 3 disciplines relevant to the scientific research training of doctoral students; at least one of these disciplines is intended to study in-depth the research methodology and/or the statistical data processing.***

Within the training program based on advanced university studies, the doctoral student participates in the first year of doctoral studies in the activities of 3-4 disciplines of doctoral studies. The disciplines in the training program based on advanced university studies of a doctoral student are established by the doctoral supervisor, the doctoral student and the Council of the Doctoral School of Theater and Film. For each of the two doctoral fields, the curriculum includes a discipline for the in-depth study of research methodology and / or statistical data processing, respectively, for the field of Cinematography and Media, Research methods in film studies, visual culture and media.

*Recommendations:*

***The indicator is fulfilled.***

***Performance Indicator B. 2. 1. 2. At least one discipline is dedicated to Ethics and Intellectual Property in scientific research or there are well-defined topics on these subjects within a discipline taught in the doctoral program.***

The competencies, skills and learning outcomes related to the disciplines taught in the doctoral school can be found in the Syllabus, drafted according to the requirements in force at national level and approved by the Director of the Doctoral School. Ethics subjects are included.

*Recommendations:*

***The indicator is fulfilled.***

***Performance Indicator B. 2. 1. 3. The IOSUD has mechanisms to ensure that the academic training program based on advanced university studies addresses „the learning outcomes”, specifying the knowledge, skills, responsibility and autonomy that doctoral students should acquire after completing each discipline or through the research activities.***

The discipline Research methodology in the performing arts. Interpretation strategies, includes as learning objectives the principles of ethics and deontology of scientific research, objectives that are achieved through dedicated topics.



*Recommendations:*

***The indicator is fulfilled.***

***Performance Indicator B. 2. 1. 4.*** All along the duration of the doctoral training, doctoral students in the domain receive counselling/guidance from functional guidance commissions, which is reflected in written guidance and feedback or regular meeting.

Throughout the doctoral training period, doctoral students receive advice and guidance from a guidance committee. This commission is composed of three members, full members of the Doctoral School of Theater and Film or associates, with research activity in the field of doctoral thesis. The commission is appointed, for each doctoral student separately, by the doctoral supervisor and includes teachers holding the title of doctor and the teaching degree of lecturer, associate professor, or university professor. The Steering Committee meets, physically, for each project support or research report of the doctoral student, as well as, at the request of the doctoral student, as many times as necessary. Following each formal project support or research report, the committee members provide the doctoral student with oral feedback, which is recorded in a report. Also, prior to the initiation of the procedure for public defense of the doctoral thesis, the doctoral student will defend his / her thesis before the guiding commission, which will give its approval for starting the public defense procedure.

*Recommendations:*

***The indicator is fulfilled.***

***Performance Indicator B. 2. 1. 5.*** For a doctoral study domain, the ratio between the number of doctoral students and the number of teaching staff/researchers providing doctoral guidance must not exceed 3:1.

In the field of Cinematography and Media, there are 24 PhD students enrolled in the three years stage. 17 teachers work in the steering commissions. The ratio is 1.41: 1.

*Recommendations:*

***The indicator is fulfilled.***

**Criterion B. 3. The results of doctoral studies and procedures for their evaluation.**

*Standard B. 3. 1. Doctoral students capitalize on the research through presentations at scientific conferences, scientific publications, technological transfer, patents, products and service orders.*



**Performance Indicator B. 3. 1. 1.** *For the evaluated domain, the evaluation commission will be provided with at least one paper or some other relevant contribution per doctoral student who has obtained a doctor's title within the past 5 years. From this list, the members of the evaluation commission shall randomly select 5 such papers / relevant contributions per doctoral study domain for review. At least 3 selected papers must contain significant original contributions in the respective domain.*

Until the time of writing this report, only one doctoral student has obtained the title of doctor in the field of Cinematography and Media.

*Recommendations:*

**The indicator is fulfilled.**

**Performance Indicator \*B. 3. 1. 2.** *The ratio between the number of presentations of doctoral students who completed their doctoral studies within the evaluated period (past 5 years), including posters, exhibitions made at prestigious international events (organized in the country or abroad) and the number of doctoral students who have completed their doctoral studies within the evaluated period (past 5 years) is at least 1.*

During the evaluated period, in the field of Cinematography and Media, only one doctoral student defended his doctoral thesis. He has a conference attendance. The required report is 1.

*Recommendations:*

**The indicator is fulfilled.**

**Standard B. 3. 2.** *The Doctoral School engages a significant number of external scientific specialists in the commissions for public defense of doctoral theses in the analyzed domain.*

**Performance Indicator \*B. 3. 2. 1.** *The number of doctoral theses allocated to one specialist coming from a higher education institution, other than the evaluated IOSUD should not exceed two (2) in a year for the theses coordinated by the same doctoral thesis advisor.*

The maximum number of theses coordinated by a doctoral supervisor and assigned to a reviewer is 2.

*Recommendations:*

**The indicator is fulfilled.**

**Performance Indicator \*B. 3. 2. 2.** *The ratio between the doctoral theses allocated to one scientific specialist coming from a higher education institution, other than the*





*institution where the defense on the doctoral thesis is organized, and the number of doctoral theses presented in the same doctoral study domain in the doctoral school should not exceed 0. 3, considering the past five years. Only those doctoral study domains in which minimum ten doctoral theses have been presented within the past five years should be analyzed.*

**Did not get any data.**

**Recommendations:**

**XX**

### **Domain C. QUALITY MANAGEMENT**

#### **Criterion C. 1. Existence and periodic implementation of the internal quality assurance system**

*Standard C. 1. 1. There are an institutional framework and procedures in place and relevant internal quality assurance policies, applied for monitoring the internal quality assurance.*

**Performance Indicator C. 1. 1. 1.** *The Doctoral school in the respective university study domain shall demonstrate the continuous development of the evaluation process and its internal quality assurance following a procedure developed and applied at the level of the IOSUD, the following assessed criteria being mandatory:*

- (a) the scientific work of Doctoral advisors;*
- (b) the infrastructure and logistics necessary to carry out the research activity;*
- (c) the procedures and subsequent rules based on which doctoral studies are organized;*
- d) the scientific activity of doctoral students;*
- e) the training program based on advanced academic studies of doctoral students;*
- f) social and academic services (including for participation at different events, publishing papers etc. ) and counselling made available to doctoral students.*

The evaluation and internal quality assurance within the Doctoral School of Theater and Film is made in accordance with the Quality Assurance Policy (Annex1. 3. 1) which aims to develop the culture of quality at the level of the entire university.

*Recommendations:*

**The indicator is fulfilled.**

**Performance Indicator \*C. 1. 1. 2.** *Mechanisms are implemented during the stage of the doctoral study program to enable feedback from doctoral students allowing to identify their needs, as well as their overall level of satisfaction with the doctoral study*



*program in order to ensure continuous improvement of the academic and administrative processes. Following the analysis of the results, there is evidence that an action plan was drafted and implemented.*

Babeş-Bolyai University has mechanisms for analyzing the changes that occur in the profile of qualifications and their impact on the organization of the educational process. The Center for University Development and Quality Management conducts, by applying questionnaires for graduates of the three levels of study -tools that were reviewed during 2017 -a series of analyzes aimed at their insertion in the labor market, their opinion on the training offered to them during their university studies, the competencies and abilities formed during this period. At the same time, the opinion of the employers regarding the training of the graduates of Babeş-Bolyai University is monitored. Based on satisfaction questionnaires and are welcome to make proposals to improve the curriculum and teaching methods. Based on these studies, the university intervenes in improving the curriculum and teaching methods.

*Recommendations:*

***The indicator is fulfilled.***

## **Criterion C. 2. Transparency of information and accessibility of learning resources**

*Standard C. 2. 1. Information of interest to doctoral students, future candidates and public interest information is available for electronic format consultation.*

***Performance Indicator C. 2. 1. 1. The IOSUD publishes on the website of the organizing institution, in compliance with the general regulations on data protection, information such as:***

- (a) the Doctoral School regulation;*
- (b) the admission regulation;*
- (c) the doctoral studies contract;*
- (d) the study completion regulation including the procedure for the public presentation of the thesis;*
- (e) the content of training program based on advanced academic studies;*
- (f) the academic and scientific profile, thematic areas/research themes of the Doctoral advisors within the domain, as well as their institutional contact data;*
- (g) the list of doctoral students within the domain with necessary information (year of registration; advisor);*
- (h) information on the standards for developing the doctoral thesis;*
- (i) links to the doctoral theses' summaries to be publicly presented and the date, time, place where they will be presented; this information will be communicated at least twenty days before the presentation.*



All the information requested for the verification of this indicator can be found on the website of the Institute of Doctoral Studies, at the address <http://doctorat.ubbcluj.ro>, respectively on the website of the Doctoral School of Theater and Film, at the address <http://doctorat.ttv.ubbcluj.ro>. C. 2. 2. 1.

*Recommendations:*

***The indicator is fulfilled.***

*Standard C. 2. 2. The IOSUD/The Doctoral School provides doctoral students with access to the resources needed for conducting doctoral studies.*

***Performance Indicator C. 2. 2. 1. All doctoral students have free access to one platform providing academic databases relevant to the doctoral studies domain of their thesis.***

The "Lucian Blaga" Central University Library in Cluj Napoca is a member of the Anelis Plus consortium, in which several scientific bases are subscribed to. Independent of this participation, the Lucian Blaga Central University Library has institutional subscriptions to other scientific research databases, of which scientific resources with access to the full text: JSTOR, EBSCO -Academic Search Complete, EBSCO Business Source Complete, EBSCO -Art Full Text, SpringerLink Journals, Emerald Management Journals, ProQuest Central, Cambridge Journals, Science Direct Freedom Collection, American Institute of Physics Journals, American Chemical Society Journals, Institute of Physics Journals, SciFinder (CAS), Wiley Journals, MathSciNet, Zentralblatt MATH ; bibliometric and bibliographic databases: Clarivate Analytics, Scopus. In addition to all this, you can also access EZB -Regensburg Library, Arcanum, LEX Legislative Base (accessible in the central building of BCU, at the Hall "Lucian Blaga"). Also, within the Central University Library "Lucian Blaga" was created a digital library and several documentary databases.

*Recommendations:*

***The indicator is fulfilled.***

***Performance Indicator C. 2. 2. 2. Each doctoral student shall have access, upon request, to an electronic system for verifying the degree of similarity with other existing scientific or artistic works.***

Through the University, the UBB Faculties have the logistical resources necessary to fulfill the mission of doctoral studies, our university having, among others, one of the most efficient similarity analysis programs at international level. Starting with 2016, IOSUD -UBB purchased and annually renewed the computer program license in order to verify the percentage of similarity in all doctoral theses (iThenticate textual similarity detection software provided by Turnitin). The students are not so aware of its existence thus, so this has to be improved.



*Recommendations:*

***The indicator is partially fulfilled.***

***Performance Indicator C. 2. 2. 3.*** *All doctoral students have access to scientific research laboratories or other facilities depending on the specific domain/domains within the Doctoral School, according to internal order procedures.*

All PhD students have access to the entire scientific research and artistic creation infrastructure of the Faculty of Theater and Film, an aspect regulated by article 26, paragraph 3 of the Regulations of the Doctoral School of Theater and Film.

*Recommendations:*

***The indicator is fulfilled.***

### **Criterion C. 3. Internationalization**

*Standard C. 3. 1. There is a strategy in place and it is applied to enhance the internationalization of doctoral studies.*

***Performance Indicator \*C. 3. 1. 1.*** *IOSUD, for every evaluated domain, has concluded mobility agreements with universities abroad, with research institutes, with companies working in the field of study, aimed at the mobility of doctoral students and academic staff (e. g. ERASMUS agreements for the doctoral studies). At least 35% of the doctoral students have completed a training course abroad or other mobility forms such as attending international scientific conferences. IOSUD drafts and applies policies and measures aiming at increasing the number of doctoral students participating at mobility periods abroad, up to at least 20%, which is the target at the level of the European Higher Education Area.*

The Faculty of Theater and Film has concluded mobility agreements with 12 universities abroad. Unfortunately, the interest of doctoral students to participate in training internships abroad is low, only one doctoral student in the field of Theater and Performing Arts participating in mobilities.

*Recommendations:*

***The indicator is fulfilled.***

***Performance Indicator C. 3. 1. 2.*** *In the evaluated doctoral study domain, support is granted, including financial support, to the organization of doctoral studies in international co-tutelage or invitation of leading experts to deliver courses/lectures for doctoral students.*



Within the Doctoral School of Theater and Film, two doctoral theses in international co-supervision were carried out in the field of Theater and Performing Arts, with Justus Liebig University in Giessen, Germany, and with Université de Lorraine, Metz, France. Also, the Faculty of Theater and Film regularly organizes workshops with first-class guests, workshops open to all interested students, masters and doctoral students. Furthermore, The Faculty of Theater and Film regularly organizes workshops with first-class guests, workshops open to all interested students, masters and doctoral students. Among them, in the academic year 2017-2018 we list: Katalin Ambrus (HU), documentary filmmaker, internationally awarded, Richard Abramowitz (USA), professor New York University, film producer, Kevin Schlanser (USA), director internationally acclaimed documentary filmmaker Rebekah Jorgensen (USA), member of the Directors Guild of America, Tom Craig (UK), producer, University of Derby professor, Claire Fristot (France), multimedia artist, David Ellis (UK), film curator, Frederick Lewis (USA), film director and producer.

*Recommendations:*

***The indicator is fulfilled.***

***Performance Indicator C. 3. 1. 3. The internationalization of activities carried out during the doctoral studies is supported by IOSUD through concrete measures (e. g. , by participating in educational fairs to attract international doctoral students; by including international experts in guidance committees or doctoral committees etc. ).***

Internationalization of the Doctoral School is supported by concrete measures - such as the inclusion of international experts in the guidance committee or for the public defense of the doctoral thesis.

The employees of the Institute of Doctoral Studies participated in activities to promote the doctoral programs offered by IOSUD-UBB, within the ERASMUS+ mobilities: International Staff Exchange week, Ljubljana, Slovenia 2017 and 1st International Staff Week 4-8th June 2018, Cardinal Stefan Wyszyński University in Warsaw, Poland. Leaflets and brochures containing information about doctoral programs were presented at the educational fairs. During the reference period, three international experts participated in the commissions for the defense of doctoral theses.

*Recommendations:*

***The indicator is fulfilled.***

#### **IV. SWOT Analysis**

<b><u>Strengths:</u></b>	<b><u>Weaknesses:</u></b>
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<p>12 years of experience in successfully conducting doctoral study programs; Qualified teaching staff, able to take courses of high academic standing. Education and research spaces adequate to high standards; Possessing audio-video equipment to the highest standards; Solid material base (equipment, laboratories, endowments); Ability to attract partnerships with similar European universities and institutions; National and international recognition of the results of the artistic creation of teachers.</p>	<p>Poor use by doctoral students of funds dedicated to scientific research; Poor access by international students to international mobility.</p>
<p><b><u>Opportunities:</u></b></p> <p>The current local context is particularly favorable for the development of a regional creative pole in the field of film industries. The possibility of attracting students from Romania and the Eastern European space by offering the program in state scholarship collaborations; Favorable scientific and academic context in Cluj (access to libraries and information-documentation centers, possibility to follow optional and optional courses offered by other educational institutions in Cluj); Opportunities for collaboration with famous artists, partnerships and collaborations with educational and cultural institutions in the country and abroad; Diploma recognized at European level.</p>	<p><b><u>Threats:</u></b></p> <p>The modification of the university financing criteria and implicitly the decrease of the financing of the programs in the vocational field; Insecure economic environment in the space of performing industries; The situation of uncertainty triggered by the COVID-19 pandemic; Competition from other similar programs; Problem with organization culture; The danger of new amendments to the Education Law that will lead to delays in the implementation of strategic plans.</p>

## V. Overview of judgments awarded and of the recommendations

**All fulfilled.**



No	Type of indicator (*, C)	Performance indicator	Judgment	Recommendations
1.		A 1. 1. 1.	fulfilled	
2.		A 1. 1. 2.	fulfilled	
3.		A 1. 2. 1.	fulfilled	Integration of students coming not from the field of arts should be considered, especially in a sense that specific generic knowledges are demanded on the entry exam or interview, or there should be a correlation with different MA programs that can be a platform for this PhD enrollment (s. a. differential exams etc. ).
4.		A 1. 2. 2.	fulfilled	There should be more workshops on how to process and interpret plagiarism software results.
5.		A 1. 3. 1.	fulfilled	
6.	*	A 1. 3. 2.	fulfilled	
7.	*	A 1. 3. 3.	fulfilled	
8.	C	A 2. 1. 1.	fulfilled	A network of competences should be created for students not coming from art MA studies.
9.	C	A 3. 1. 1.	fulfilled	
10.	*	A 3. 1. 2.	fulfilled	Cotoutelage can be encouraged by creating specific workshops on how to write in different languages, other than Romanian.
11.		A 3. 1. 3.	fulfilled	
12.	*	A 3. 1. 4.	fulfilled	
13.	C	A 3. 2. 1.	fulfilled	
14.	*	A 3. 2. 2.	fulfilled	
15.	*	B 1. 1. 1.	fulfilled	
16.	*	B 1. 2. 1.	fulfilled	Opening towards other disciplines' generic knowledge is recommended, especially to IT, STEM and STEAM concepts.
17.		B 1. 2. 2.	fulfilled	
18.		B 2. 1. 1.	fulfilled	
19.		B 2. 1. 2.	fulfilled	
20.		B 2. 1. 3.	fulfilled	
21.		B 2. 1. 4.	fulfilled	
22.	C	B 2. 1. 5.	fulfilled	

23.	C	B 3. 1. 1.	fulfilled	
24.	*	B 3. 1. 2.	fulfilled	
25.	*	B 3. 2. 1.	fulfilled	
26.	*	B 3. 2. 2.	XX	not enough data
27.		C 1. 1. 1.	fulfilled	Journal should be considered, more research visibility is necessary.
28.	*	C 1. 1. 2.	fulfilled	
29.	C	C 2. 1. 1.	fulfilled	
30.		C 2. 2. 1.	fulfilled	
31.		C 2. 2. 2.	partially fulfilled	
32.		C 2. 2. 3.	fulfilled	More databases should be included in the list. Students feel they are missing important access to journals.
33.	*	C 3. 1. 1.	fulfilled	
34.		C 3. 1. 2.	fulfilled	
35.		C 3. 1. 3.	fulfilled	

## VI. Conclusions and general recommendations

### The overall recommendations:

1. Students are not aware of the benefits they can have when participating in different institutional committees, They should be acquainted with this, especially in regard to their own projects, finances, etc. Budget has to be increased.
2. Most of the students and staff are not aware of the benefits of the internalization. This has to be improved. Guest-professors should be invited regularly.
3. There should be more attention given to training of the ethical committee and mentors in different specific issues, such as plagiarism, integrity, breaking of different academic standards and norms, etc.
4. Encouragement for international publishing activity should be set as a priority.
5. Coutoutelage should be encouraged.
6. School provides doctoral students with an advanced training program that includes disciplines likely to provide high-performance knowledge skills in their own research directions, on the principle of Arts Based Research. This is visible. Openness towards interdisciplinarity should be encouraged even more, towards STEAM, STEM, IT etc.



7. In project teams, committees etc., there should be more younger experts included.
8. First year curricula should be cleared, with 4 disciplines that are elective. More extra-curricula workshops should be encouraged, to open some new and innovative topics, e.g. STEAM, new media etc.
9. Students should convey the evaluation of the teachers in a written and well documented format.
10. Professional and scientific PhD standards are not so clear, and it should be elaborated.
11. Doctoral schools are too much dispersed, so many things are overlapping. The question arises why some domains are not joined and connected.
12. Criteria for professional PhD are not so clearly understood and elaborated.
13. Students are not aware of the anti-plagiarism software, and they claim that there are no workshops for data analysis of this software results.